This guidance document is used to determine whether elementary schools, secondary schools, school districts, or local boards of education that are the recipient of funds from ED comply with PPRA regulations as PPRA relates to <Human Research>.

Applicability

The Protection of Pupil Rights Amendment (PPRA) applies to schools that receive funds from ED, either directly or indirectly through a state or city department of education. PPRA also applies to school-based sites (including school-based health centers) that are staffed by school personnel and school-based sites that are staffed by personnel from community-based organizations. (20 USC §1232h; 34 CFR §98)

1. This school has developed and adopted policies in conjunction with parents regarding the following:

1.1 The right of a parent of a student to inspect, upon the request of the parent, a survey created by a third party before the survey is administered or distributed by a school to a student including procedures for granting a request by a parent for reasonable access to such survey within a reasonable period of time after the request is received (see Footnote 1)

1.2 The right of a parent of a student to inspect, upon the request of the parent, any instrument used in the collection of personal information before the instrument is administered or distributed to a student including any procedures for granting a request by a parent for reasonable access to such instrument within a reasonable period of time after the request is received

1.3 Arrangements to protect student privacy in the event of the administration or distribution of a survey to a student containing one or more of the following items (including the right of a parent of a student to inspect, upon the request of the parent, any survey containing one or more of such items):

- Political affiliations or beliefs of the student or the student’s parent
- Mental or psychological problems of the student or the student’s family
- Sex behavior or attitudes
- Illegal, anti-social, self-incriminating, or demeaning behavior
- Critical appraisals of other individuals with whom respondents have close family relationships
- Legally recognized privileged and analogous relationships, such as those of lawyers, physicians, and ministers
- Religious practices, affiliations, or beliefs of the student or the student’s parent
- Income (other than that required by law to determine eligibility for participation in a program or for receiving financial assistance under such program)

1.4 No student will be required to submit, as part of any research project, without prior consent (see Footnote 1) to surveys, psychiatric examination, testing, or treatment, or psychological examination, testing, or treatment, in which the primary purpose is to reveal information concerning one or more of the following: (34 CFR §98.4)

- Political affiliations
- Mental and psychological problems potentially embarrassing to the student or his or her family
- Sex behavior and attitudes
- Illegal, anti-social, self-incriminating and demeaning behavior
- Religious practices, affiliations, or beliefs of the student or student’s parent
- Critical appraisals of other individuals with whom the student has close family relationships
- Legally recognized privileged and analogous relationships, such as those of lawyers, physicians, and ministers
- Income, other than that required by law to determine eligibility for participation in a program or for receiving financial assistance under a program

1.5 The administration of physical examinations or screenings that the school or agency may administer to a student

1.6 The collection, disclosure, or use of personal information collected from students for the purpose of marketing or for selling that information (or otherwise providing that information to others for that purpose), including arrangements to protect student privacy that are provided by the agency in the event of such collection, disclosure, or use

2. Footnotes

2.0 Prior consent means: (34 CFR §98.4)
- Prior written consent of the student, if the student is an adult or emancipated minor or
- Prior written consent of the parent or guardian, if the student is not an emancipated minor. Schools and contractors obtain prior written parental consent before minor students are required to participate in any ED-funded survey, analysis or evaluation.

2.1 Psychiatric or psychologic examination or test means a method of obtaining information, including a group activity, that is not directly related to academic instruction and that is designed to elicit information about attitudes, habits, traits, opinions, beliefs or feelings (34 CFR §98.4)

2.2 Psychiatric or psychological treatment means an activity involving the planned, systematic use of methods or techniques that are not directly related to academic instruction and that is designed to affect behavioral, emotional, or attitudinal characteristics of an individual or group (34 CFR §98.4)

2.3 For research not funded by the US Department of Education: The IRB must verify compliance with U.S. Department of Education regulations that schools are required to develop and adopt policies in conjunction with parents regarding the following:

- The right of a parent of a student to inspect, upon the request of the parent, any instructional material used as part of the educational curriculum for the student.
- Any applicable procedures for granting a request by a parent for reasonable access to instructional material received

3. Notes